

Bao Viet Securities JSC

72 Tran Hung Dao, Hoan Kiem, Hanoi

INTERIM COMBINED STATEMENT OF FINANCIAL POSITION AS AT 30 JUNE 2021

Currency: VND

ITEMS	Code	Closing balance	Opening balance
A. CURRENT ASSETS (100 = 110 + 130)	100	4.398.659.856.929	3.057.481.551.720
I. Financial assets (110 = 111 ->129)	110	4.395.600.169.400	3.055.091.690.297
Cash and cash equivalents	111	10.232.396.972	88.878.481.436
1.1 Cash	111.1	10.232.396.972	88.878.481.436
1.2. Cash equivalents	111.2	-	-
2. Financial assets at fair value through profit or loss	112	346.835.508.581	537.049.359.670
3. Held - to - maturity investments	113	497.539.999.996	140.815.123.288
4. Loans	114	3.410.399.513.123	2.205.552.755.865
5. Available for sale	115	171.191.170.477	141.474.190.977
6. Allowance for depreciations of financial assets and mortgage assets	116	(74.158.249.495)	(83.321.740.473)
7. Account receivables	117	24.885.403.163	13.948.873.006
7.1 Sales of financial assets receivables	117.1	-	-
7.2 Accrual dividend and interest Receivables	117.2	24.885.403.163	13.948.873.006
7.2.1 Due dividends and interests receivables	117.3	-	-
in which: Bad receivables of due dividends and interests	117.3.1	-	-
7.2.2 Expected receivables of dividends and interests not yet due	117.4	24.885.403.163	13.948.873.006
8. Prepaid to suppliers	118	5.162.373.795	4.872.918.095
9. Services receivables	119	5.784.874.811	3.831.342.787
10. Internal receivables	120	-	-
11. Trading errors receivables	121	-	-
12. Other receivables	122	14.513.674.176	17.974.115.345
13. Allowance for receivables	129	(16.786.496.199)	(15.983.729.699)

ITEMS	Code	Closing balance	Opening balance
II. Other current assets (130 = 131->136)	130	3.059.687.529	2.389.861.423
1. Advance payments	131	278.246.165	197.767.410
2. Office goods	132	497.821.618	440.296.625
3. Short-term prepaid expenses	133	2.283.619.746	1.751.797.388
4. Short-term Mortgage, Guarantee deposits	134	-	-
5. Deductible VAT	135	-	-
6. Taxes and receivables from the State	136	-	-
7. Other current assets	137	-	-
8. Government bond repos trading	138	-	-
9. Provision for impairment of short-term assets	139	-	-
B. FIXED ASSETS (200 = 210 + 220 + 230 + 240 + 250 - 260)	200	358.874.375.756	355.511.340.000
I. Long-term receivables	210	314.330.653.104	304.361.150.719
1. Long-term receivables	211	-	-
2. Investments	212	318.698.652.104	308.729.149.719
2.1 Held - to - maturity investments	212.1	153.518.309.189	155.743.269.525
2.2 Investment in Subsidiaries	212.2	-	-
2.3 Investment in Joint Ventures	212.3	-	-
2.4 Other long-term investments	212.4	165.180.342.915	152.985.880.194
3. Provision for impairment of non-current assets	213	(4.367.999.000)	(4.367.999.000)
II. Fixed Assets	220	16.261.395.868	15.663.357.737
1. Tangible fixed assets	221	12.387.066.996	12.973.845.649
- Historical cost	222	40.645.522.189	38.831.601.069
- Accumulated Depreciation	223a	(28.258.455.193)	(25.857.755.420)
- Fixed asset valuation at fair value	223b	-	-
2. Finance lease assets	224	-	-
- Historical cost	225	-	-
- Accumulated Depreciation of Finance lease	226a	-	-

ITEMS	Code	Closing balance	Opening balance
'- Finance lease asset valuation at fair value	226b	-	-
3. Intangible fixed assets	227	3.874.328.872	2.689.512.088
- Historical cost	228	18.101.831.090	16.316.031.090
- Accumulated Amortization	229a	(14.227.502.218)	(13.626.519.002)
'- Intangible fixed asset valuation at fair value	229b	-	-
III. Investment property	230	-	-
- Historical cost	231	-	-
- Accumulated Depreciation	232a	-	-
' - Investment property valuation at fair value	232b	-	-
IV. Construction in progress	240	-	1.585.715.000
V. Other long-term receivables	250	28.282.326.784	33.901.116.544
1. Long-term Mortgage, Guarantee deposits	251	1.116.581.840	1.116.581.840
2. Long-term Prepaid Expenses	252	7.165.744.944	11.434.414.184
3. Deferred Tax Assets	253	-	-
4. Payment for the Settlement support fund	254	20.000.000.000	21.350.120.520
5. Other long-term receivables	255	-	-
VI. Allowances for long-term receivables depreciation	260	-	-
TOTAL ASSETS (270 = 100 + 200)	270	4.757.534.232.685	3.412.992.891.720
C. LIABILITIES (300 = 310 + 340)	300	2.711.531.511.014	1.538.249.222.865
I. Current liabilities	310	2.580.043.302.063	1.421.265.974.723
1. Short-term borrowings and loans from finance lease	311	1.867.933.942.629	895.000.000.000
1.1 Short-term borrowings	312	1.867.933.942.629	895.000.000.000
1.2 Short-term Loans from finance lease	313	-	-
2. Short-term borrowings for finance	314	-	-
3. Short-term convertible bond	315	-	-
4. Short-term issued bond	316	-	-
5. Borrowings for the Settlement support fund	317	-	-

ITEMS	Code	Closing balance	Opening balance
6. Payment for securities trading expenses	318	9.647.330.772	5.335.570.233
7. Payment for trading error of financial assets	319	-	-
8. Short-term Accounts Payable	320	5.744.127.705	6.482.919.261
9. Short-term advance payments from buyers	321	16.582.117.272	14.945.367.272
10. Tax Payables & Payables to Government	322	36.111.305.351	14.813.780.151
11. Payment for employees	323	121.543.389.364	40.970.689.958
12. Payment for employees welfare	324	1.365.635.968	1.134.201.903
13. Short-term payables expenses	325	19.515.503.744	5.600.310.672
14. Short-term internal payables	326	-	-
15. Short-term unrealized turnover	327	-	-
16. Short-term received Guarantee Deposits	328	-	-
17. Other Short-term payables	329	490.819.394.013	417.148.403.422
18. Short-term Allowance	330	-	11.500.000.000
19. Bonus and welfare fund	331	10.780.555.245	8.334.731.851
20. Government bond repos trading	332	-	-
II. Long-term liabilities	340	131.488.208.951	116.983.248.142
1. Long-term Accounts Payable	341	-	-
1.1 Long-term borrowings	342	-	-
1.2 Long-term Loans from finance lease	343	-	-
2. Long-term borrowing and debt	344	-	-
3. Long-term convertible bond	345	-	-
4. Long-term issued bond	346	100.228.203.198	100.251.422.216
5. Long-term payment for suppliers	347	-	-
6. Provision for long-term payables	348	-	-
7. Long-term expenses	349	-	-
8. Long-term Internal Payables	350	-	-
9. Long-term unrealized turnover	351	-	-

ITEMS	Code	Closing balance	Opening balance
10. Long-term received Guarantee Deposits	352	-	-
11. Other long-term payables	353	-	-
12. Long-term Allowance	354	-	-
13. Investor protection fund	355	-	-
14. Deferred Tax Liabilities	356	31.260.005.753	16.731.825.926
15. Science and Technology Development Fund	357	-	-
A. Owner's equity (400 = 410 + 420)	400	2.046.002.721.671	1.874.743.668.855
I. Owner's equity	410	2.046.002.721.671	1.874.743.668.855
1. Share capital	411	1.332.095.854.220	1.332.095.854.220
1.1 Contributed capital	411.1	722.339.370.000	722.339.370.000
a. Common stock	411.1a	722.339.370.000	722.339.370.000
b. Preferred stock	411.1b	-	-
1.2 Surplus capital	411.2	610.253.166.720	610.253.166.720
1.3 Conversion option to bonds	411.3	-	-
1.4 Other capital	411.4	-	-
1.5 Treasury shares	411.5	(496.682.500)	(496.682.500)
2. Revaluation differences on Assets	412	71.584.426.025	47.901.675.608
3. Foreign exchange differences	413	-	-
4. Reserve fund for supplementing charter capital	414	47.769.022.922	47.769.022.922
5. Allowance for financial and professional risks	415	47.769.022.922	47.769.022.922
6. Other funds	416	-	-
7. Retained earnings	417	546.784.395.582	399.208.093.183
7.1 Realized earnings	417.1	499.178.727.298	393.844.801.004
7.2 Unrealized earnings	417.2	47.605.668.284	5.363.292.179
II. Other resources and funds	420	-	-
TOTAL LIABILITIES AND OWNER'S EQUITY	440	4.757.534.232.685	3.412.992.891.720

INTERIM COMBINED OFF - BALANCE SHEET ITEMS

A. ASSETS OF THE COMPANY AND ASSETS MANAGED UNDER AGREEMENTS

ITEMS	Code	Closing balance	Opening balance
1. Leased fixed assets	1	-	-
2. Pledged valuable papers	2	10.975.070.000	10.975.070.000
3. Mortgaged assets	3	-	-
4. Bad debt written off	4	390.400.000	390.400.000
5. Foreign currencies	5	-	-
6. Outstanding shares	6	72.200.145	72.200.145
7. Treasury shares	7	33.792	33.792
8. Financial assets listed/ registered for trading at VSD of the company	8	145.640.270.000	162.224.140.000
9. Financial assets deposited at VSD and not yet traded	9	11.801.430.000	3.000.030.000
10. Financial assets awaiting receipt	10	500.000.000	61.150.000.000
11. Financial assets used to correct trading errors	11	-	-
12. Financial assets not yet deposited at VSD	12	174.686.470.000	174.686.470.000
13. Financial assets under rights enjoyment	13	-	-

B. ASSETS AND LIABILITIES MANGED UNDER AGREEMENT WITH INVESTORS

ITEMS	Code	Closing balance	Opening balance
1. Customer's financial assets listed/ registered for trading at VSD of the company	21	34.204.088.612.000	28.702.733.325.500
a. Tradable financial assets	021.1	32.463.330.792.000	26.775.577.420.000
b. Financial assets under transfer restriction	021.2	486.387.540.000	735.838.250.000
c. Mortgaged financial assets	021.3	752.154.340.000	644.711.310.000
d. Isolated or suspended financial assets	021.4	97.969.860.000	120.469.860.000
e. Financial assets awaiting for settlement	021.5	404.246.080.000	426.136.485.500
f. Financial assets awaiting for lending	021.6	-	-
2. Customer's financial assets deposited at VSD and not yet traded	22	367.859.530.000	53.178.860.000
a. Financial assets deposited at VSD and not yet traded, transferable	022.1	323.870.600.000	31.590.860.000
b. Financial assets deposited at VSD and not yet traded, transfer restricted	022.2	43.988.930.000	21.588.000.000
c. Financial assets deposited at VSD and not yet traded, mortgaged	022.3	-	-
d. Financial assets deposited at VSD and not yet traded, isolated and suspended	022.4	-	-
3. Customer's financial assets awaiting receipt	23	311.851.647.000	370.984.343.400
4. Financial assets used to correct trading errors of investors	024.a	-	-

ITEMS	Code	Closing balance	Opening balance
5. Undeposited financial assets of investor at Vietnam Securities Depository Center	024.b	2.746.395.860.000	2.566.395.860.000
6. Customer's financial assets under rights enjoyment	25	-	-
7. Customer's Deposits	26	1.630.305.631.398	1.176.052.114.156
7.1 Deposits to securities brokerage activity	27	374.994.453.761	599.761.947.810
7.2 Deposits for securities trading collection	28	1.235.349.307.246	493.104.582.949
7.3 Deposits for clearing and settlement activities	29	-	-
a. Domestic Investor's deposits for clearing and settlement activities	029.1	-	-
b. Foreign Investor's deposits for clearing and settlement activities	029.2	-	-
7.4 Deposits of securities issuers	30	19.961.870.391	83.185.583.397
8. Payable to customer of Deposits for securities trading under management of stock company	31	1.601.371.804.634	1.062.348.309.557
8.1 Payable to domestic customer of Deposits for securities trading under management of Stock company	031.1	1.592.525.562.520	1.061.076.042.795
8.2 Payable to foreign customer of Deposits for securities trading under management of stock company	031.2	8.846.242.114	1.272.266.762
9. Payable to issuer	32	19.984.159.896	3.909.482.426
10. Receivables from customers for error of financial asset transaction	33	-	-
11. Payables to customers for error of financial asset transaction	34	-	-
12. Payables for dividend, bond interest	35	8.949.666.868	109.794.322.173

INTERIM COMBINED INCOME STATEMENT ENDED 30 JUNE 2021

Currency: VND

	Code	Quarter 2 end 30/06		Accumulated to 30/06	
		2021	2020	2021	2020
I. REVENUE					
1.1 Gains from Financial assets at fair value through profit or loss (FVTPL)	1	75.171.728.186	76.500.193.243	127.537.549.090	91.159.772.875
a. Gains from sale of financial assets	1.1	26.975.745.729	25.706.001.812	50.284.858.982	31.289.376.748
b. Revaluation differences on financial assets through profit or loss	1.2	30.345.867.213	36.992.584.066	55.913.167.617	42.277.270.878
c. Dividend, interests from FVTPL	1.3	17.850.115.244	13.801.607.365	21.339.522.491	17.593.125.249
1.2 Gains from Held - to - maturity investments	2	7.103.973.527	1.186.423.033	12.029.916.372	1.331.025.773
1.3 Gains from loans and receivables	3	109.776.742.402	42.785.875.016	165.311.105.618	80.846.159.104
1.4 Gains from financial assets available for sale (AFS)	4	1.141.289.800	1.755.000.000	1.141.289.800	2.691.540.000
1.5 Gains from derivative tools	5	-	-	-	-
1.6 Revenue from brokerage	6	136.671.609.256	33.969.911.628	222.542.387.666	59.024.391.555
1.7 Revenue from securities underwriting, securities Registrar	7	8.376.810.169	5.331.668.182	17.079.370.611	5.331.668.182
1.8 Revenue from consultancy	8	-	-	-	-
1.09 Revenue from securities depository	9	2.266.167.561	1.165.520.440	4.348.320.301	2.430.867.338
1.10 Revenue from financial advisory services	10	2.986.272.727	1.245.045.455	5.476.545.454	4.260.954.546
1.11 Revenue from other activities	11	933.330.095	520.580.287	1.703.489.640	727.972.936
TOTAL REVENUE (20 = 01>11)	20	344.427.923.723	164.460.217.284	557.169.974.552	247.804.352.309
II. EXPENSE FOR OPERATING ACTIVITIES					
2.1 Loss from Financial assets at fair value through profit or loss (FVTPL)	21	21.388.306.861	20.765.596.500	35.032.821.168	74.559.975.944
a. Loss from sale of financial assets	21.1	16.898.711.264	19.691.122.135	28.808.806.938	40.841.892.036
b. Revaluation differences on financial assets through profit or loss	21.2	4.489.595.597	1.074.474.365	6.224.014.230	33.718.083.908

	Code	Quarter 2 end 30/06		Accumulated to 30/06	
		2021	2020	2021	2020
c. Expenses for purchase of FVTPL	21.3	-	-	-	-
2.2 Loss from Held - to - maturity investments	22	-	-	-	-
2.3 Expenses for interests, loss from loans and receivables	23	-	-	-	-
2.4 Loss from sale of assets available for sale (AFS)	24	(3.552.392.528)	(2.647.121.547)	(9.163.490.978)	1.627.500.093
2.5 Loss from derivative tools	25	-	-	-	-
2.6 Expenses for proprietary dealing	26	1.035.968.562	414.646.637	1.440.445.617	853.896.783
2.7 Expenses for brokerage	27	103.631.414.501	23.849.824.829	173.574.332.711	44.583.604.458
2.8 Expenses for securities underwriting, securities Registrar	28	-	-	-	-
2.9 Expenses for consultancy	29	-	-	-	-
2.10 Expenses for securities depository	30	5.206.144.826	2.663.579.412	9.041.223.275	5.462.741.693
2.11. Costs of financial advisory services	31	5.046.857.202	3.123.668.422	7.784.003.768	4.697.903.129
2.12 Expenses for other activities	32	4.035.371.207	1.709.592.186	6.819.075.110	3.667.862.568
<i>In which: Expenses for fixing transaction errors</i>	33	-	-	-	600.000
TOTAL EXPENSE (40 = 21->32)	40	136.791.670.631	49.879.786.439	224.528.410.671	135.453.484.668
III. REVENUE FOR FINANCING ACTIVITIES					
3.1 Foreign exchange rate differences	41	-	-	-	-
3.2 Revenue, receivables from dividends, interest in the period	42	3.207.327.668	6.335.389.949	6.175.653.210	12.153.581.676
3.3 Gains from investment in associates	43	-	-	-	-
3.4 Other revenue	44	-	-	-	-
Total (50 = 41->44)	50	3.207.327.668	6.335.389.949	6.175.653.210	12.153.581.676
I.V EXPENSE FOR FINANCING ACTIVITIES					
4.1 Foreign exchange rate differences	51	-	110.962	-	287.184
4.2 Interest expenses	52	26.677.975.370	9.767.318.406	39.379.710.496	18.618.821.818
4.3 Losses from investment in associates	53	-	-	-	-
4.4. Provision for reduction in long-term investments	54	-	-	-	-
4.5 Other expense	55	-	-	-	200.000.000

	Code	Quarter 2 end 30/06		Accumulated to 30/06	
		2021	2020	2021	2020
Total (60 = 51->56)	60	26.677.975.370	9.767.429.368	39.379.710.496	18.819.109.002
V. Sale expenses	61	-	-	-	-
VI. General and administration expenses	62	74.636.187.498	25.740.360.306	112.000.825.548	44.063.695.518
VII. OPERATING RESULTS (70= 20+50-40-60-61-62)	70	109.529.417.892	85.408.031.120	187.436.681.047	61.621.644.797
VIII. OTHER EXPENSES AND REVENUE					
8.1 Other revenue	71	-	17.973.564	29.043.201	311.980.118
8.2 Other expenses	72	185.400.117	88.650.000	273.390.117	176.975.000
Total (80= 71-72)	80	(185.400.117)	(70.676.436)	(244.346.916)	135.005.118
IX. Accounting profit (loss) before tax (90=70 + 80)	90	109.344.017.775	85.337.354.684	187.192.334.131	61.756.649.915
9.1 Realized earnings	91	83.487.746.159	49.419.244.983	137.503.180.744	53.197.462.945
9.2 Unrealized earnings	92	25.856.271.616	35.918.109.701	49.689.153.387	8.559.186.970
X. Income tax payables	100	19.850.027.137	10.861.330.553	33.944.443.659	9.985.013.514
10.1 Current enterprise income tax	100.1	15.092.750.638	10.690.725.165	26.497.666.377	10.690.725.165
10.2 Deferred enterprise income tax	100.2	4.757.276.499	170.605.388	7.446.777.282	(705.711.651)
XI. Accounting profit (loss) after tax (200 = 90 - 100)	200	89.493.990.638	74.476.024.131	153.247.890.472	51.771.636.401
XII. GAINS/LOSSES AFTER TAX	300	68.394.995.521	38.728.519.818	111.005.514.367	42.506.737.780
12.1 Gains/Losses from Revaluation differences on assets available for sale	301				
12.2 Other Gains/Losses from Invesement on Associates	302	19.376.503.691	12.404.272.199	23.682.750.417	(9.020.639.801)
12.3 Gains/Losses from Revaluation differences on financing derivatives tools	303				
12.4 Gains/Losses from Foreign exchange rate differences	304				
Total earnings	400				
XIII. Net profit per common stock	500	19.376.503.691	12.404.272.199	23.682.750.417	(9.020.639.801)
13.1 Earning per share (VND/share)	501	869	536	1.459	589

INTERIM COMBINED CASH FLOW STATEMENT ENDED 30 JUNE 2021 – DIRECT METHOD

Currency: VND

	Code	Accumulated to ended 30 JUNE	
		2021	2020
I. Cash flow from operating activities			
1. Payments for purchase of financial assets	1	(76.297.599.173.606)	(18.515.655.460.512)
2. Receipts from sale of financial assets	2	76.071.151.221.486	18.488.517.978.342
3. Payment to the Settlement support fund	3		
4. Receipts from dividends	4	6.712.381.818	16.489.472.780
5. Receipts from interests	5	174.366.674.319	82.922.720.663
6. Payment for interest on operating activities	6	(29.141.360.612)	(18.311.671.234)
7. Payment to suppliers	7	(39.974.552.108)	(14.053.671.473)
8. Payment for tax on operating activities	8	(120.289.200.697)	(65.740.795.840)
9. Payments for trading expenses	9	(87.142.489.660)	(29.245.137.606)
10. Other income from operating	10		
11. Other payment for operating	11	7.784.398.310.377	4.622.196.033.845
12. Other payments from operating activities	12	(7.464.046.501.690)	(4.542.852.058.181)
Net cash from operating activities	20	(1.564.690.373)	24.267.410.784
II. Net cash flows from investing activities			
1. Acquisition of fixed assets and other long-term assets	21	(2.014.721.120)	(99.200.000)
2. Proceeds from sale of fixed assets and other long-term assets	22	-	-
3. Payment for Investments in associates	23	-	-
4. Proceeds from investments in subsidiaries, joint ventures, affiliates and other entities	24	-	-
5. Interest and dividend received from long-term financial investment	25	-	-
Net cash from investing activities	30	(2.014.721.120)	(99.200.000)
III. Net cash from financing activities			
1. Proceeds from issuance of stock and receipt of capital contributed	31	-	-
2. Payments to owner for capital contributed, payments to acquire or redeem the treasury shares	32	-	-
3. Principals	33	2.177.905.996.053	238.000.000.000
- Loan from payment assistance funds	33.1	-	-
- Other loans	33.2	2.177.905.996.053	238.000.000.000
4. Payments of principal	34	(2.252.972.053.424)	(400.000.000.000)
- Loan principal payments to payment assistance funds	34.1	-	-
- Loan principal payments of financial assets	34.2	-	-
- Other loan principal payments	34.3	(2.252.972.053.424)	(400.000.000.000)
5. Payments of financial lease	35	-	-
6. Dividends, profits paid to shareholders	36	(615.600)	-

	Code	Accumulated to ended 31 March	
		2021	2020
Net cash from financing activities	40	(75.066.672.971)	(162.000.000.000)
IV. Net cash of the year	50	(78.646.084.464)	(137.831.789.216)
V. Cash and cash equivalent at opening balance	60	88.878.481.436	174.237.228.080
Cash	61	88.878.481.436	174.237.228.080
Cash equivalents	62		
Effects of changes in foreign exchange rate	63		
VI. Cash and cash equivalent at closing balance (70 = 50 + 60)	70	10.232.396.972	36.405.438.864
Cash	71	10.232.396.972	36.405.438.864
Cash equivalent	72	-	-
Effects of changes in foreign exchange rate	74		

**CASH FLOW ON BROKERAGE, INVESTMENT TRUST ACTIVITIES ENDED 30 JUNE 2021
(DIRECT METHOD)**

	Code	Accumulated to ended 31 March	
		2021	2020
I. Cash from brokerage, trust activities			
1.Cash from sale securities brokerage for customers	1	126.677.771.902.439	22.528.996.006.510
2.Payment for purchase of securities brokerage for customers	2	(132.160.867.667.296)	(20.374.065.800.772)
3.Cash from sale trust securities brokerage for customers	3		-
4.Payment for purchase trust securities for customers	4		-
5. Receipt from Fund for Settlement Support borrowing	5	-	-
6. Payment for Fund for Settlement Support borrowing	6	-	-
7.Receipt of deposit for securities trading settlement of Customer	7	26.684.822.163.951	8.687.162.065.265
8.Receipt of Customer's deposit for investment trust activities	8		
9.Payment for customer securities depository	9	(2.672.560.960)	(1.965.071.006)
10.Receipt from securities trading errors	10		-
11.Payment for securities trading errors	11	-	-
12.Receipt from issuer	12	3.622.780.444.765	1.650.081.779.775
13.Payment for issuer	13	(3.697.759.533.782)	(1.640.779.596.501)
14. Receipt from brokerage service	14	78.250.207.852.614	32.084.336.310.177
15. Payment for brokerage service	15	(98.920.029.084.489)	(42.894.509.899.949)
Increase/Decrease net cash	20	454.253.517.242	39.255.793.499
II.Cash and Cash equivalent of Customer at opening of period	30	1.176.052.114.156	588.148.124.654
Cash in bank at opening balance	31	1.176.052.114.156	588.148.124.654
Customer's Deposits for securities trading under management of securities company	32	599.761.947.810	364.578.483.902
Customer's total Deposits for securities trading	33		
Deposits for clearing and settlement of securities trading	34	493.104.582.949	223.301.242.574

	Code	Accumulated to ended 30 JUNE	
		2021	2020
Deposit of issuer	35	83.185.583.397	268.398.178
Cash equivalent	36		
Effects of changes in foreign exchange rate	37	-	-
III.Cash and Cash equivalent of Customer at end of period	40	1.630.305.631.398	627.403.918.153
Cash in bank at closing balance	41	1.630.305.631.398	627.403.918.153
Customer's Deposits for securities trading under management of securities company	42	374.994.453.761	389.978.125.368
Customer's total Deposits for securities trading	43	1.235.349.307.246	236.872.129.380
Deposits for clearing and settlement of securities trading	44		
Deposit of issuer	45	19.961.870.391	553.663.405
Cash equivalent	46		
Effects of changes in foreign exchange rate	47	-	-

COMBINED STATEMENT OF CHANGE IN EQUITY

For quarter II ended 30.06

Currency: VND

Items	Beginning balance		Increase/(decrease) for quarter II ended 30/06				Ending balance for Quarter II	
	2020	2021	2020		2021		2020	2021
			Increase	Decrease	Increase	Decrease		
I. Change in Owner's equity								
1. Share capital	1.332.592.536.720	1.332.592.536.720					1.332.592.536.720	1.332.592.536.720
1.1. Common stock	722.339.370.000	722.339.370.000					722.339.370.000	722.339.370.000
1.2. Preferred stock								
1.3 Surplus capital	610.253.166.720	610.253.166.720					610.253.166.720	610.253.166.720
1.4 Conversion option to bonds								
1.5 Other capital								
2. Treasury shares	(496.682.500)	(496.682.500)					(496.682.500)	(496.682.500)
3. Reserve fund for supplementing charter capital	42.517.552.484	47.769.022.922					42.517.552.484	47.769.022.922
4. Allowance for financial and professional risks	42.517.552.484	47.769.022.922					42.517.552.484	47.769.022.922
5. Revaluation differences on Assets	30.894.437.854	47.901.675.608		21.424.912.000	9.667.382.508	5.361.135.782	9.469.525.854	52.207.922.334
6.. Foreign exchange differences								
7. Other Equity funds								

Items	Beginning balance		Increase/(decrease) for quarter II ended 30/06				Ending balance for Quarter II	
	January 1, 2020	January 1, 2021	2020		2021		2020	2021
			Increase	Decrease	Increase	Decrease		
8. Retained earnings	358.607.795.379	399.208.093.183	3.778.217.962	26.482.605.692	63.753.899.834		335.903.407.649	462.961.993.017
8.1 Realized earnings after tax	380.595.251.718	393.844.801.004	3.778.217.962		42.610.518.846		384.373.469.680	436.455.319.850
8.2 Unrealized earnings after tax	(21.987.456.339)	5.363.292.179		26.482.605.692	21.143.380.988		(48.470.062.031)	26.506.673.167
TOTAL	1.806.633.192.421	1.874.743.668.855	3.778.217.962	47.907.517.692	73.421.282.342	5.361.135.782	1.762.503.892.691	1.942.803.815.415
II. Other comprehensive income								
1. Gain/(loss) from revaluation of asset available for sale	30.894.437.854	47.901.675.608		21.424.912.000	9.667.382.508	5.361.135.782	9.469.525.854	52.207.922.334
2. Gain/(loss) from revaluation of fixed asset								
3. Gain/(loss) from foreign exchange rate								
4. Other gains/(loss)								
TOTAL	30.894.437.854	47.901.675.608		21.424.912.000	9.667.382.508	5.361.135.782	9.469.525.854	52.207.922.334

