

**CORPORATE BALANCE SHEET AS AT 31 March 2018**

		Closing balance	Opening balance
<b>ASSETS</b>			
<b>A. CURRENT ASSETS</b>	<b>100</b>	<b>5,207,451,558,841</b>	<b>1,740,895,200,763</b>
<b>I. Financial assets</b>	<b>110</b>	<b>5,205,088,864,307</b>	<b>1,739,579,063,830</b>
<b>Cash and cash equivalents</b>	<b>111</b>	<b>3,654,849,873,686</b>	<b>228,383,433,703</b>
1.1 Cash	111.1	3,503,340,986,425	10,261,178,967
1.2. Cash equivalents	111.2	151,508,887,261	218,122,254,736
2. Financial assets at fair value through profit or loss	112	171,696,774,960	181,752,087,610
3. Held - to - maturity investments	113	36,530,525,617	136,889,897,791
4. Loans	114	1,152,325,142,408	1,116,594,118,657
5. Available for sale	115	118,170,322,497	110,657,472,497
6. Allowance for depreciations of financial assets and mortgage assets	116	(65,600,496,199)	(67,944,728,883)
<b>7. Account receivables</b>	<b>117</b>	<b>1,038,324,500</b>	<b>10,486,522,115</b>
7.1 Sales of financial assets receivables	117.1		
7.2 Accrual dividend and interest Receivables	117.2	1,038,324,500	10,486,522,115
7.2.1 Due dividends and interests receivables	117.3		
in which: Bad receivables of due dividends and interests	117.3.1		
7.2.2 Expected receivables of dividends and interests not yet due	117.4	1,038,324,500	10,486,522,115
8. Prepaid to suppliers	118	6,040,852,126	6,821,181,789
9. Services receivables	119	5,101,942,674	4,377,685,224
10. Internal receivables	120		
11. Trading errors receivables	121		
12. Other receivables	122	140,836,831,737	27,462,643,026
13. Allowance for receivables	129	(15,901,229,699)	(15,901,229,699)
<b>II. Other current assets</b>	<b>130</b>	<b>2,362,694,534</b>	<b>1,316,136,933</b>
1. Advance payments	131	919,957,310	514,547,275
2. Office goods	132	224,669,251	212,376,870
3. Short-term prepaid expenses	133	1,218,067,973	571,512,788
4. Short-term Mortgage, Guarantee deposits	134		17,700,000
5. Deductible VAT	135		
6. Taxes and receivables from the State	136		
7. Other current assets	137		
8. Government bond repos trading	138		
9. Provision for impairment of short-term assets	139		
<b>B. FIXED ASSETS</b>	<b>200</b>	<b>463,188,776,469</b>	<b>458,059,245,909</b>
<b>I. Long-term receivables</b>	<b>210</b>	<b>383,160,115,796</b>	<b>374,219,253,495</b>
1. Long-term receivables	211		
<b>2. Investments</b>	<b>212</b>	<b>411,368,765,250</b>	<b>402,029,253,495</b>
2.1 Held - to - maturity investments	212.1	217,416,115,450	212,817,544,895

2.2 Investment in Subsidiaries	212.2		
2.3 Investment in Joint Ventures	212.3		
2.4 Other long-term investment	212.4	193,952,649,800	189,211,708,600
3. Provision for impairment of non-current assets	213	(28,208,649,454)	(27,810,000,000)
<b>II. Fixed Assets</b>	<b>220</b>	<b>13,814,301,665</b>	<b>14,135,215,848</b>
1. Tangible fixed assets	221	10,792,165,404	11,280,768,544
- Historical cost	222	35,157,903,288	34,689,380,288
- Accumulated Depreciation	223a	(24,365,737,884)	(23,408,611,744)
- Fixed asset valuation at fair value	223b		
2. Finance lease assets	224		
- Historical cost	225		
- Accumulated Depreciation of Finance lease	226a		
' - Finance lease asset valuation at fair value	226b		
3. Intangible fixed assets	227	3,022,136,261	2,854,447,304
- Historical cost	228	13,956,101,090	13,542,101,090
- Accumulated Amortization	229a	(10,933,864,829)	(10,687,653,786)
' - Intangible fixed asset valuation at fair value	229b		
III. Investment property	230		
- Historical cost	231		
- Accumulated Depreciation	232a		
' - Investment property valuation at fair value	232b		
IV. Construction in progress	240		
<b>V. Other long-term receivables</b>	<b>250</b>	<b>66,214,359,008</b>	<b>69,704,776,566</b>
1. Long-term Mortgage, Guarantee deposits	251	1,152,476,115	1,152,476,115
2. Long-term Prepaid Expenses	252	45,061,882,893	47,393,820,391
3. Deferred Tax Assets	253		
4. Payment for the Settlement support fund	254	20,000,000,000	21,158,480,060
5. Other long-term receivables	255		
VI. Allowances for long-term receivables depreciation	260		
<b>TOTAL ASSETS</b>	<b>270</b>	<b>5,670,640,335,310</b>	<b>2,198,954,446,672</b>
<b>C. LIABILITIES</b>	<b>300</b>	<b>3,934,616,695,367</b>	<b>500,850,839,962</b>
<b>I. Current liabilities</b>	<b>310</b>	<b>3,922,930,385,677</b>	<b>490,044,251,418</b>
<b>1. Short-term borrowings and loans from finance lease</b>	<b>311</b>		<b>89,985,675,489</b>
1.1 Short-term borrowings	312		89,985,675,489
1.2 Short-term Loans from finance lease	313		
2. Short-term borrowings for finance	314		
3. Short-term convertible bond	315		
4. Short-term issued bond	316		
5. Borrowings for the Settlement support fund	317		
6. Payment for securities trading expenses	318	4,662,099,943	3,786,996,811
7. Payment for trading error of financial assets	319		
8. Short-term Accounts Payable	320	1,650,897,900	10,713,515,474
9. Short-term advance payments from buyers	321	2,686,300,000	2,961,300,000
10. Tax Payables & Payables to Government	322	13,710,075,535	14,357,264,044
11. Payment for employees	323	28,136,974,333	48,317,200,787

12. Payment for employees welfare	324	1,468,361,596	909,202,166
13. Short-term payables expenses	325	2,134,063,477	3,348,624,774
14. Short-term internal payables	326		
15. Short-term unrealized turnover	327		
16. Short-term received Guarantee Deposits	328		
17. Other Short-term payables	329	3,625,131,506,571	95,975,685,774
18. Short-term Allowance	330		
19. Bonus and welfare fund	331	4,135,615,403	5,893,515,403
20. Government bond repos trading	332	239,214,490,619	213,795,270,696
<b>II. Long-term liabilities</b>	<b>340</b>	<b>11,686,309,690</b>	<b>10,806,588,544</b>
1. Long-term Accounts Payable	341		
1.1 Long-term borrowings	342		
1.2 Long-term Loans from finance lease	343		
2. Long-term borrowing and debt	344		
3. Long-term convertible bond	345		
4. Long-term issued bond	346		
5. Long-term payment for suppliers	347		
6. Provision for long-term payables	348		
7. Long-term expenses	349		
8. Long-term Internal Payables	350		
9. Long-term unrealized turnover	351		
10. Long-term received Guarantee Deposits	352		
11. Other long-term payables	353		
12. Long-term Allowance	354		
13. Investor protection fund	355		
14. Deferred Tax Liabilities	356	11,6868,309,690	10,806,588,544
15. Science and Technology Development Fund	357		
<b>A. Owner's equity</b>	<b>400</b>	<b>1,736,023,639,943</b>	<b>1,698,103,606,710</b>
<b>I. Owner's equity</b>	<b>410</b>	<b>1,736,023,639,943</b>	<b>1,698,103,606,710</b>
<b>1. Business capital</b>	<b>411</b>	<b>1,332,095,854,220</b>	<b>1,332,095,854,220</b>
<b>1.1 Contributed capital</b>	<b>411.1</b>	<b>722,339,370,000</b>	<b>722,339,370,000</b>
a. Common stock	411.1a	722,339,370,000	722,339,370,000
b. Preferred stock	411.1b		
1.2 Surplus capital	411.2	610,253,166,720	610,253,166,720
1.3 Conversion option to bonds	411.3		
1.4 Other capital	411.4		
1.5 Treasury shares	411.5	(496,682,500)	(496,682,500)
2. Revaluation differences on Assets	412	34,512,635,294	25,455,682,334
3. Foreign exchange differences	413		
4. Reserve fund for supplementing charter capital	414	29,538,463,475	29,538,463,475
5. Allowance for financial and professtional risks	415	29,538,463,475	29,538,463,475
6. Other funds	416		
7. Retained earnings	417	310,338,223,479	281,475,143,206
7.1 Realized earnings	417.1	316,392,860,127	282,922,223,792
7.2 Unrealized earnings	417.2	(6,054,636,648)	(1,447,080,586)
8. Shareholder benefits	418		

II. Other resources and funds	420		
<b>TOTAL LIABILITIES AND OWNER'S EQUITY</b>	<b>440</b>	<b>5,670,640,335,310</b>	<b>2,198,954,446,672</b>

**OFF BALANCE SHEET ITEMS**

**A. ASSETS OF SECURITIES COMPANY AND MANAGED ASSETS ACCORDING TO COMMITMENTS**

		Ending balance	Opening balance
1. Leased fixed assets	001	-	-
2. Pledged valuable papers	002	-	-
3. Mortgaged assets	003	-	-
4. Bad debt written off	004	390,400,000	390,400,000
5. Foreign currencies	005	-	-
6. Outstanding shares	006	72,200,145	72,200,145
7. Treasury shares	007	33,792	313,792
8. Financial assets listed/ registered for trading at VSD of the company	008	106,451,190,000	140,592,400,000
9. Financial assets deposited at VSD and not yet traded	009	3,000,220,000	3,000,010,000
10. Financial assets awaiting receipt	010	367,200,000	3,291,200,000
11. Financial assets used to correct trading errors	011	-	-
12. Financial assets not yet deposited at VSD	012	124,357,310,000	184,357,310,000
13. Financial assets under rights enjoyment	013	-	-

**B. ASSETS AND ITEMS ALLOCATED BACK TO MANAGED ASSETS ACCODING TO COMMITMENTS WITH CUSTOMERS**

		Closing balance	Opening balance
1. Customer's financial assets listed/ registered for trading at VSD of the company	021	26,747,018,180,000	30,439,112,740,000
a. Tradable financial assets	021.1	25,836,598,800,000	29,358,257,520,000
b. Financial assets under transfer restriction	021.2	301,824,110,000	285,187,700,000
c. Mortgaged financial assets	021.3	379,508,510,000	614,951,120,000
d. Isolated or suspended financial assets	021.4	-	-
e. Financial assets awaiting for settlement	021.5	229,086,760,000	180,723,400,000
f. Financial assets awaiting for lending	021.6	-	-
2. Customer's financial assets deposited at VSD and not yet traded	022	14,321,310,000	38,277,500,000
a. Financial assets deposited at VSD and not yet traded, transferable	022.1	4,660,310,000	14,209,440,000
b. Financial assets deposited at VSD and not yet traded, transfer restricted	022.2	9,661,000,000	24,068,060,000
c. Financial assets deposited at VSD and not yet traded, mortgaged	022.3	-	-
d. Financial assets deposited at VSD and not yet traded, isolated and suspended	022.4	-	-
3. Customer's financial assets awaiting receipt	023	186,541,910,000	266,557,800,000
4. Financial assets used to correct trading errors of investors	024.a	-	-
5. Undeposited financial assets of investor at Vietnam Securities Depository Center	024.b	2,439,322,700,000	2,149,322,700,000
5. Customer's financial assets under rights enjoyment	025	-	-
6. Customer's Deposits	026	657,149,367,520	737,314,588,699

6.1 Deposits to securities brokerage activity	<b>027</b>	306,411,553,318	415,638,533,838
7.2 Deposits for securities trading collection	<b>028</b>	348,509,314,280	318,869,139,597
7.3 Deposits for clearing and settlement activities	<b>029</b>	-	-
a. Domestic Investor's deposits for clearing and settlement activities	<b>029.1</b>	-	-
b. Foreign Investor's deposits for clearing and settlement activities	<b>029.2</b>	-	-
7.4 Deposits of securities issuers	<b>030</b>	2,228,499,922	2,806,915,264
8. Payable to customer of Deposits for securities trading under management of stock company	<b>031</b>	644,376,493,018	725,721,558,367
8.1 Payable to domestic customer of Deposits for securities trading under management of Stock company	<b>031.1</b>	642,433,831,036	724,601,017,157
8.2 Payable to foreign customer of Deposits for securities trading under management of stock company	<b>031.2</b>	1,942,661,982	1,120,541,210
9. Payable to issuer	<b>032</b>	4,396,733,860	3,158,667,760
10. Receivables from /Payables to customers for error of financial asset transaction	<b>033</b>	-	-
11. Payables of investors relating to financial assets trading errors	<b>034</b>	-	-
12. Payables of dividend, principle and interest of bonds	<b>035</b>	8,376,140,642	8,434,362,572

**INCOME STATEMENT ENDED 31 MARCH 2018**

<b>I. REVENUE</b>		<b>Quarter 1/2018</b>	<b>Quarter 1/2017</b>	<b>Accumulation Q1/2018</b>	<b>Accumulation Q1/2017</b>
<b>1.1 Gains from Financial assets at fair value through profit or loss (FVTPL)</b>	<b>01</b>	<b>35,345,145,067</b>	<b>10,239,735,807</b>	<b>35,345,145,067</b>	<b>10,239,735,807</b>
a. Gains from sale of financial assets	<b>01.1</b>	14,347,912,430	3,047,149,409	14,347,912,430	3,047,149,409
b. Revaluation differences on financial assets through profit or loss	<b>01.2</b>	20,335,810,737	6,997,650,470	20,335,810,737	6,997,650,470
c. Dividend, interests from FVTPL	<b>01.3</b>	661,421,900	194,935,928	661,421,900	194,935,928
1.2 Gains from Held - to - maturity investments	<b>02</b>	5,807,798,381	8,855,008,159	5,807,798,381	8,855,008,159
1.3 Gains from loans and receivables	<b>03</b>	30,953,564,646	20,819,613,017	30,953,564,646	20,819,613,017
1.4 Gains from financial assets available for sale (AFS)	<b>04</b>	698,749,000	1,560,860,900	698,749,000	1,560,860,900
1.5 Gains from derivative tools	<b>05</b>				
1.6 Revenue from brokerage	<b>06</b>	49,878,120,998	46,294,937,606	49,878,120,998	46,294,937,606
1.7 Revenue from securities underwriting, securities Registrar	<b>07</b>	207,933,195	700,000,000	207,933,195	700,000,000
1.8 Revenue from consultancy	<b>08</b>				
1.09 Revenue from securities depository	<b>09</b>	1,335,131,575	1,192,885,868	1,335,131,575	1,192,885,868
1.10 Revenue from financial advisory services	<b>10</b>	1,589,022,727	878,181,817	1,589,022,727	878,181,817
1.11 Revenue from other activities	<b>11</b>	119,702,779	171,991,533	119,702,779	171,991,533
<b>TOTAL REVENUE (20 = 01&gt;11)</b>	<b>20</b>	<b>125,935,168,368</b>	<b>90,713,214,707</b>	<b>125,935,168,368</b>	<b>90,713,214,707</b>
<b>II. EXPENSE FOR OPERATING ACTIVITIES</b>					
2.1 Loss from Financial assets at fair value through profit or loss (FVTPL)	<b>21</b>	29,231,344,953	8,438,736,020	29,231,344,953	8,438,736,020
a. Loss from sale of financial assets	<b>21.1</b>	2,903,461,060	1,275,423,273	2,903,461,060	1,275,423,273
b. Revaluation differences on financial assets through profit or loss	<b>21.2</b>	26,327,883,893	7,163,312,747	26,327,883,893	7,163,312,747
c. Expenses for purchase of FVTPL	<b>21.3</b>				
2.2 Loss from Held - to - maturity investments	<b>22</b>				
2.3 Expenses for interests, loss from loans and receivables	<b>23</b>				
2.4 Loss from sale of assets available for sale (AFS)	<b>24</b>	(1,945,583,230)	(1,120,748,786)	(1,945,583,230)	(1,120,748,786)
2.5 Loss from derivative tools	<b>25</b>				
2.6 Expenses for proprietary dealing	<b>26</b>	379,760,439	291,118,736	379,760,439	291,118,736
2.7 Expenses for brokerage	<b>27</b>	37,587,201,855	29,116,158,560	37,587,201,855	29,116,158,560
2.8 Expenses for securities underwriting, securities Registrar	<b>28</b>				
2.9 Expenses for consultancy	<b>29</b>				
2.10 Expenses for securities depository	<b>30</b>	3,159,137,403	2,603,202,808	3,159,137,403	2,603,202,808
2.11. Costs of financial advisory services	<b>31</b>	2,371,099,652	1,207,891,889	2,371,099,652	1,207,891,889

2.12 Expenses for other activities	32	1,648,301,540	1,565,223,304	1,648,301,540	1,565,223,304
<i>In which: Expenses for trading errors</i>	33				
<b>TOTAL EXPENSE</b>	<b>40</b>	<b>72,431,262,612</b>	<b>42,101,582,531</b>	<b>72,431,262,612</b>	<b>42,101,582,531</b>
<b>III. REVENUE FOR FINANCING ACTIVITIES</b>					
3.1 Foreign exchange rate differences	41				
3.2 Revenue, receivables from dividends, interest in the period	42	4,763,429,623	2,771,829,958	4,763,429,623	2,771,829,958
3.3 Gains from investment in associates	43				
3.4 Other revenue	44				
<b>Total</b>	<b>50</b>	<b>4,763,429,623</b>	<b>2,771,829,958</b>	<b>4,763,429,623</b>	<b>2,771,829,958</b>
<b>I.V EXPENSE FOR FINANCING ACTIVITIES</b>					
4.1 Foreign exchange rate differences	51				
4.2 Interest expenses	52	4,174,403,026	1,878,744,911	4,174,403,026	1,878,744,911
4.3 Losses from investment in associates	53				
4.4. Provision for reduction in long-term investments	54				
4.5 Other expense	55				
5. Profit/Loss in joint-ventures	56				
<b>Total (60 = 51-&gt;56)</b>	<b>60</b>	<b>4,174,403,026</b>	<b>1,878,744,911</b>	<b>4,174,403,026</b>	<b>1,878,744,911</b>
V. Sale expenses	61				
VI. General and administration expenses	62	18,704,741,294	18,228,284,099	18,704,741,294	18,228,284,099
<b>VII. OPERATING RESULTS</b>	<b>70</b>	<b>35,388,191,059</b>	<b>31,276,433,124</b>	<b>35,388,191,059</b>	<b>31,276,433,124</b>
<b>VIII. OTHER EXPENSES AND REVENUE</b>					
8.1 Other revenue	71		9,090,909		9,090,909
8.2 Other expenses	72	120,970,000	107,840,800	120,970,000	107,840,800
<b>Total</b>	<b>80</b>	<b>(120,970,000)</b>	<b>(98,749,891)</b>	<b>(120,970,000)</b>	<b>(98,749,891)</b>
<b>IX. Accounting profit (loss) before tax</b>	<b>90</b>	<b>35,267,221,059</b>	<b>31,177,683,233</b>	<b>35,267,221,059</b>	<b>31,177,683,233</b>
9.1 Realized earnings	91	41,259,294,215	31,343,345,510	41,259,294,215	31,343,345,510
9.2 Unrealized earnings	92	(5,992,073,156)	(165,662,277)	(5,992,073,156)	(165,662,277)
X. Income tax payables	100	6,404,140,786	5,787,028,286	6,404,140,786	5,787,028,286
10.1 Current enterprise income tax	100.1	7,788,657,880	5,787,028,286	7,788,657,880	5,787,028,286
10.2 Deferred enterprise income tax	100.2	(1,384,517,094)		(1,384,517,094)	
<b>XI. Accounting profit (loss) after tax</b>	<b>200</b>	<b>28,683,080,273</b>	<b>25,390,654,947</b>	<b>28,683,080,273</b>	<b>25,390,654,947</b>
<b>XII. GAINS/LOSSES AFTER TAX</b>	<b>300</b>				
12.1 Gains/Losses from Revaluation differences on assets available for sale	301	9,056,952,960	1,648,510,000	9,056,952,960	1,648,510,000
12.2 Other Gains/Losses from Investment on Associates	302				
12.3 Gains/Losses from Revaluation differences on financing derivatives tools	303				
12.4 Gains/Losses from Foreign	304				

exchange rate differences					
Total net profit	<b>400</b>	<b>9,056,952,960</b>	<b>1,648,510,000</b>	<b>9,056,952,960</b>	<b>1,648,510,000</b>
XIII. Net profit per common stock	<b>500</b>				
13.1 Earning per share (VND/share)	<b>501</b>	400	352	400	352
13.2 Diluted earning per share (VND/share)	<b>502</b>				



**CASH FLOW STATEMENT ENDED 31 MARCH 2018**

<b>I. Cash flows from operating activities</b>		<b>For the 3- months period ended 3 Mar2018</b>	<b>For the 3- months period ended 31 Mar20167</b>
1. Payments for purchase of financial assets	1	(4,569,299,206,732)	(1,936,491,129,205)
2. Receipts from sale of financial assets	2	4,652,273,638,887	2,245,230,917,385
3. Payment to the Settlement support fund	3	-	-
4. Receipts from dividends	4	657,621,700	5,805,035,000
5. Receipts from interests	5	49,563,397,470	43,540,530,948
6. Payment for interest on operating activities	6	(118,748,220)	(827,485,084)
7. Payment to suppliers	7	(11,130,649,040)	(8,080,577,789)
8. Payment for tax on operating activities	8	(41,353,640,899)	(26,365,283,114)
9. Payments for trading expenses	9	(28,255,120,277)	(16,923,107,861)
10. Other income from operating	10	-	-
11. Other payment for operating	11	5,154,695,323,109	2,981,629,554,693
12. Other payments from operating activities	12	(1,711,320,480,526)	(2,870,686,813,173)
<b>Net cash from operating activities</b>	<b>20</b>	<b>3,495,730,135,472</b>	<b>326,831,641,800</b>
<b>II. Net cash flows from investing activities</b>			
1. Acquisition of fixed assets and other long-term assets	21	(690,420,000)	-
2. Proceeds from sale of fixed assets and other long-term assets	22	-	-
3. Payment for Investments in associates	23	-	-
4. Proceeds from investments in subsidiaries, joint ventures, affiliates and other entities	24	-	-
5. Interest and dividend received from long-term financial investment	25	-	-
<b>Net cash from investing activities</b>	<b>30</b>	<b>(690,420,000)</b>	<b>-</b>
<b>III. Net cash from financing activities</b>			
1. Proceeds from issuance of stock and receipt of capital contributed	31	-	-
2. Payments to owner for capital contributed, payments to acquire or redeem the treasury shares	32	-	-
3. Principals	33	21,516,820,883	2,206,504,813,671
3.1 Borrowings for Settlement Support Fund	33.1	-	-
3.2 Other borrowings	33.2	21,516,820,883	2,206,504,813,671
3.3. Other receipt	33.2b	-	-
4. Payments of principal	34	(90,090,096,372)	(2,270,518,751,286)
4.1 Payment to the Settlement support fund	34.1	-	-
4.2 Payments of principal for financial assets	34.2	-	-
4.3 Other payments of principal	34.3	(90,090,096,372)	(2,270,518,751,286)
Other payments of principal	34.3a	-	-
Payment to Repo market	34.3b	-	-
5. Payments of financial lease	35	-	-
6. Dividends, profits paid to shareholders	36	-	-
<b>Net cash from financing activities</b>	<b>40</b>	<b>(68,573,275,489)</b>	<b>(64,013,937,615)</b>
<b>IV. Net cash of the year</b>	<b>50</b>	<b>3,426,466,439,983</b>	<b>262,817,704,185</b>
<b>V. Cash and cash equivalent at opening balance</b>	<b>60</b>	<b>228,383,433,703</b>	<b>117,958,400,723</b>
Cash	61	10,261,178,967	7,450,071,427
Cash equivalents	62	218,122,254,736	110,508,329,296
Effects of changes in foreign exchange rate	63	-	-
<b>VI. Cash and cash equivalent at closing balance</b>	<b>70</b>	<b>3,654,849,873,686</b>	<b>380,776,104,908</b>
Cash	71	3,503,340,986,425	6,525,570,773
Cash equivalent	72	151,508,887,261	374,250,534,135
<b>Effects of changes in foreign exchange rate</b>	<b>73</b>	<b>-</b>	<b>-</b>

**CASH FLOW ON BROKERAGE, INVESTMENT TRUST ACTIVITIES ENDED 31 MARCH 2018**  
(Direct method)

		For the 3- months period ended 3 Mar2018	For the 3- months period ended 31 Mar2018
<b>I.Cash from brokerage, trust activities</b>			
1.Cash from sale securities brokerage for customers	01	25,495,784,826,008	19,109,009,841,223
2.Payment for purchase of securities brokerage for customers	02	(19,860,155,657,900)	(20,320,791,843,455)
3.Cash from sale trust securities brokerage for customers	03	-	-
4.Payment for purchase trust securities for customers	04	-	-
5. Receipt from Fund for Settlement Support borrowing	05	-	-
6. Payment for Fund for Settlement Support borrowing	06	-	-
7.Receipt of deposit for securities trading settlement of Customer	07	10,713,404,492,558	9,915,101,787,653
8.Receipt of Customer's deposit for investment trust activities	08	-	-
9.Payment for customer securities depository	09	(939,435,740)	(1,260,985,475)
10.Receipt from securities trading errors	10	-	-
11.Payment for securities trading errors	11	-	-
12.Receipt from issuer	12	2,977,690,010,856	907,838,345,950
13.Payment for issuer	13	(2,975,833,674,986)	(895,291,552,238)
14. Receipt from brokerage and trust activities	14	19,521,216,687,358	18,034,806,883,320
15. Payment for brokerage and trust activities	15	(35,951,332,469,333)	(26,545,831,660,989)
<b>Increase/Decrease net cash</b>	<b>20</b>	<b>(80,165,221,179)</b>	<b>203,489,815,989</b>
<b>II.Cash and Cash equivalent of Customer at opening of period</b>	<b>30</b>	<b>737,314,588,699</b>	<b>482,807,490,320</b>
Cash in bank at opening balance	31	737,314,588,699	482,807,490,320
Customer's Deposits for securities trading under management of securities company	32	415,638,533,838	160,686,058,057
Customer's total Deposits for securities trading	33	-	-
Deposits for clearing and settlement of securities trading	34	318,869,139,597	308,360,826,219
Deposit of issuer	35	2,806,915,264	13,760,606,044
Cash equivalent	36	-	-
Effects of changes in foreign exchange rate	37	-	-
<b>III.Cash and Cash equivalent of Customer at end of period</b>	<b>40</b>	<b>657,149,367,520</b>	<b>686,297,306,309</b>
Cash in bank at closing balance	41	657,149,367,520	686,297,306,309
Customer's Deposits for securities trading under management of securities company	42	306,411,553,318	195,531,001,192
Customer's total Deposits for securities trading	43	348,509,314,280	480,533,746,333
Deposits for clearing and settlement of securities trading	44		
Deposit of issuer	45	2,228,499,922	10,232,558,784
Cash equivalent	46	-	-
Effects of changes in foreign exchange rate	47	-	-