

Bao Viet Securities JSC

72 Tran Hung Dao, Hoan Kiem, Hanoi

INTERIM COMBINED STATEMENT OF FINANCIAL POSITION AS AT 31 MARCH 2021

Currency: VND

ITEMS	Code	Closing balance	Opening balance
A. CURRENT ASSETS (100 = 110 + 130)	100	3,245,723,781,899	3,057,481,551,720
I. Financial assets (110 = 111 ->129)	110	3,242,924,199,923	3,055,091,690,297
Cash and cash equivalents	111	5,757,972,212	88,878,481,436
1.1 Cash	111.1	5,757,972,212	88,878,481,436
1.2. Cash equivalents	111.2	-	-
2. Financial assets at fair value through profit or loss	112	196,667,318,809	537,049,359,670
3. Held - to - maturity investments	113	242,998,136,986	140,815,123,288
4. Loans	114	2,716,946,385,368	2,205,552,755,865
5. Available for sale	115	146,771,077,977	141,474,190,977
6. Allowance for depreciations of financial assets and mortgage assets	116	(77,710,642,023)	(83,321,740,473)
7. Account receivables	117	1,693,984,357	13,948,873,006
7.1 Sales of financial assets receivables	117.1	-	-
7.2 Accrual dividend and interest Receivables	117.2	1,693,984,357	13,948,873,006
7.2.1 Due dividends and interests receivables	117.3	-	-
in which: Bad receivables of due dividends and interests	117.3.1	-	-
7.2.2 Expected receivables of dividends and interests not yet due	117.4	1,693,984,357	13,948,873,006
8. Prepaid to suppliers	118	4,810,173,095	4,872,918,095
9. Services receivables	119	8,829,607,904	3,831,342,787
10. Internal receivables	120	-	-
11. Trading errors receivables	121	-	-
12. Other receivables	122	12,946,681,437	17,974,115,345
13. Allowance for receivables	129	(16,786,496,199)	(15,983,729,699)

ITEMS	Code	Closing balance	Opening balance
II. Other current assets (130 = 131->136)	130	2,799,581,976	2,389,861,423
1. Advance payments	131	430,211,065	197,767,410
2. Office goods	132	440,296,625	440,296,625
3. Short-term prepaid expenses	133	1,929,074,286	1,751,797,388
4. Short-term Mortgage, Guarantee deposits	134	-	-
5. Deductible VAT	135	-	-
6. Taxes and receivables from the State	136	-	-
7. Other current assets	137	-	-
8. Government bond repos trading	138	-	-
9. Provision for impairment of short-term assets	139	-	-
B. FIXED ASSETS (200 = 210 + 220 + 230 + 240 + 250 - 260)	200	355,307,147,870	355,511,340,000
I. Long-term receivables	210	307,906,848,374	304,361,150,719
1. Long-term receivables	211	-	-
2. Investments	212	312,274,847,374	308,729,149,719
2.1 Held - to - maturity investments	212.1	158,486,198,672	155,743,269,525
2.2 Investment in Subsidiaries	212.2	-	-
2.3 Investment in Joint Ventures	212.3	-	-
2.4 Other long-term investments	212.4	153,788,648,702	152,985,880,194
3. Provision for impairment of non-current assets	213	(4,367,999,000)	(4,367,999,000)
II. Fixed Assets	220	15,275,200,979	15,663,357,737
1. Tangible fixed assets	221	12,860,353,040	12,973,845,649
- Historical cost	222	39,938,112,189	38,831,601,069
- Accumulated Depreciation	223a	(27,077,759,149)	(25,857,755,420)
- Fixed asset valuation at fair value	223b	-	-
2. Finance lease assets	224	-	-
- Historical cost	225	-	-
- Accumulated Depreciation of Finance lease	226a	-	-

ITEMS	Code	Closing balance	Opening balance
'- Finance lease asset valuation at fair value	226b	-	-
3. Intangible fixed assets	227	2,414,847,939	2,689,512,088
- Historical cost	228	16,316,031,090	16,316,031,090
- Accumulated Amortization	229a	(13,901,183,151)	(13,626,519,002)
'- Intangible fixed asset valuation at fair value	229b	-	-
III. Investment property	230	-	-
- Historical cost	231	-	-
- Accumulated Depreciation	232a	-	-
' - Investment property valuation at fair value	232b	-	-
IV. Construction in progress	240	1,585,000,000	1,585,715,000
V. Other long-term receivables	250	30,540,098,517	33,901,116,544
1. Long-term Mortgage, Guarantee deposits	251	1,116,581,840	1,116,581,840
2. Long-term Prepaid Expenses	252	9,423,516,677	11,434,414,184
3. Deferred Tax Assets	253	-	-
4. Payment for the Settlement support fund	254	20,000,000,000	21,350,120,520
5. Other long-term receivables	255	-	-
VI. Allowances for long-term receivables depreciation	260	-	-
TOTAL ASSETS (270 = 100 + 200)	270	3,601,030,929,769	3,412,992,891,720
C. LIABILITIES (300 = 310 + 340)	300	1,658,227,114,354	1,538,249,222,865
I. Current liabilities	310	1,534,660,924,296	1,421,265,974,723
1. Short-term borrowings and loans from finance lease	311	1,093,000,000,000	895,000,000,000
1.1 Short-term borrowings	312	1,093,000,000,000	895,000,000,000
1.2 Short-term Loans from finance lease	313	-	-
2. Short-term borrowings for finance	314	-	-
3. Short-term convertible bond	315	-	-
4. Short-term issued bond	316	-	-
5. Borrowings for the Settlement support fund	317	-	-

ITEMS	Code	Closing balance	Opening balance
6. Payment for securities trading expenses	318	6,571,295,629	5,335,570,233
7. Payment for trading error of financial assets	319	-	-
8. Short-term Accounts Payable	320	6,085,259,161	6,482,919,261
9. Short-term advance payments from buyers	321	14,685,367,272	14,945,367,272
10. Tax Payables & Payables to Government	322	22,890,734,413	14,813,780,151
11. Payment for employees	323	54,287,479,680	40,970,689,958
12. Payment for employees welfare	324	1,194,440,088	1,134,201,903
13. Short-term payables expenses	325	7,030,400,991	5,600,310,672
14. Short-term internal payables	326	-	-
15. Short-term unrealized turnover	327	-	-
16. Short-term received Guarantee Deposits	328	-	-
17. Other Short-term payables	329	311,511,829,124	417,148,403,422
18. Short-term Allowance	330	11,210,386,087	11,500,000,000
19. Bonus and welfare fund	331	6,193,731,851	8,334,731,851
20. Government bond repos trading	332	-	-
II. Long-term liabilities	340	123,566,190,058	116,983,248,142
1. Long-term Accounts Payable	341	-	-
1.1 Long-term borrowings	342	-	-
1.2 Long-term Loans from finance lease	343	-	-
2. Long-term borrowing and debt	344	-	-
3. Long-term convertible bond	345	-	-
4. Long-term issued bond	346	102,330,361,567	100,251,422,216
5. Long-term payment for suppliers	347	-	-
6. Provision for long-term payables	348	-	-
7. Long-term expenses	349	-	-
8. Long-term Internal Payables	350	-	-
9. Long-term unrealized turnover	351	-	-

ITEMS	Code	Closing balance	Opening balance
10. Long-term received Guarantee Deposits	352	-	-
11. Other long-term payables	353	-	-
12. Long-term Allowance	354	-	-
13. Investor protection fund	355	-	-
14. Deferred Tax Liabilities	356	21,235,828,491	16,731,825,926
15. Science and Technology Development Fund	357	-	-
A. Owner's equity (400 = 410 + 420)	400	1,942,803,815,415	1,874,743,668,855
I. Owner's equity	410	1,942,803,815,415	1,874,743,668,855
1. Share capital	411	1,332,095,854,220	1,332,095,854,220
1.1 Contributed capital	411.1	722,339,370,000	722,339,370,000
a. Common stock	411.1a	722,339,370,000	722,339,370,000
b. Preferred stock	411.1b	-	-
1.2 Surplus capital	411.2	610,253,166,720	610,253,166,720
1.3 Conversion option to bonds	411.3	-	-
1.4 Other capital	411.4	-	-
1.5 Treasury shares	411.5	(496,682,500)	(496,682,500)
2. Revaluation differences on Assets	412	52,207,922,334	47,901,675,608
3. Foreign exchange differences	413	-	-
4. Reserve fund for supplementing charter capital	414	47,769,022,922	47,769,022,922
5. Allowance for financial and professional risks	415	47,769,022,922	47,769,022,922
6. Other funds	416	-	-
7. Retained earnings	417	462,961,993,017	399,208,093,183
7.1 Realized earnings	417.1	436,455,319,850	393,844,801,004
7.2 Unrealized earnings	417.2	26,506,673,167	5,363,292,179
II. Other resources and funds	420	-	-
TOTAL LIABILITIES AND OWNER'S EQUITY	440	3,601,030,929,769	3,412,992,891,720

INTERIM COMBINED OFF - BALANCE SHEET ITEMS

A. ASSETS OF THE COMPANY AND ASSETS MANAGED UNDER AGREEMENTS

ITEMS	Code	Closing balance	Opening balance
1. Leased fixed assets	1	-	-
2. Pledged valuable papers	2	10,975,070,000	10,975,070,000
3. Mortgaged assets	3	-	-
4. Bad debt written off	4	390,400,000	390,400,000
5. Foreign currencies	5	-	-
6. Outstanding shares	6	72,200,145	72,200,145
7. Treasury shares	7	33,792	33,792
8. Financial assets listed/ registered for trading at VSD of the company	8	139,141,100,000	162,224,140,000
9. Financial assets deposited at VSD and not yet traded	9	3,000,000,000	3,000,030,000
10. Financial assets awaiting receipt	10	600,000,000	61,150,000,000
11. Financial assets used to correct trading errors	11	-	-
12. Financial assets not yet deposited at VSD	12	174,686,470,000	174,686,470,000
13. Financial assets under rights enjoyment	13	-	-

B. ASSETS AND LIABILITIES MANGED UNDER AGREEMENT WITH INVESTORS

ITEMS	Code	Closing balance	Opening balance
1. Customer's financial assets listed/ registered for trading at VSD of the company	21	30,931,678,986,400	28,702,733,325,500
a. Tradable financial assets	021.1	28,786,760,548,400	26,775,577,420,000
b. Financial assets under transfer restriction	021.2	736,567,000,000	735,838,250,000
c. Mortgaged financial assets	021.3	620,415,420,000	644,711,310,000
d. Isolated or suspended financial assets	021.4	97,969,860,000	120,469,860,000
e. Financial assets awaiting for settlement	021.5	689,966,158,000	426,136,485,500
f. Financial assets awaiting for lending	021.6	-	-
2. Customer's financial assets deposited at VSD and not yet traded	22	501,254,010,000	53,178,860,000
a. Financial assets deposited at VSD and not yet traded, transferable	022.1	466,087,560,000	31,590,860,000
b. Financial assets deposited at VSD and not yet traded, transfer restricted	022.2	35,166,450,000	21,588,000,000
c. Financial assets deposited at VSD and not yet traded, mortgaged	022.3	-	-
d. Financial assets deposited at VSD and not yet traded, isolated and suspended	022.4	-	-
3. Customer's financial assets awaiting receipt	23	703,338,315,000	370,984,343,400
4. Financial assets used to correct trading errors of investors	024.a	-	-

ITEMS	Code	Closing balance	Opening balance
5. Undeposited financial assets of investor at Vietnam Securities Depository Center	024.b	2,566,395,860,000	2,566,395,860,000
6. Customer's financial assets under rights enjoyment	25	-	-
7. Customer's Deposits	26	834,859,409,687	1,176,052,114,156
7.1 Deposits to securities brokerage activity	27	114,850,320,160	599,761,947,810
7.2 Deposits for securities trading collection	28	713,044,094,202	493,104,582,949
7.3 Deposits for clearing and settlement activities	29	-	-
a. Domestic Investor's deposits for clearing and settlement activities	029.1	-	-
b. Foreign Investor's deposits for clearing and settlement activities	029.2	-	-
7.4 Deposits of securities issuers	30	6,964,995,325	83,185,583,397
8. Payable to customer of Deposits for securities trading under management of stock company	31	818,422,865,855	1,062,348,309,557
8.1 Payable to domestic customer of Deposits for securities trading under management of Stock company	031.1	815,913,093,728	1,061,076,042,795
8.2 Payable to foreign customer of Deposits for securities trading under management of stock company	031.2	2,509,772,127	1,272,266,762
9. Payable to issuer	32	2,287,329,896	3,909,482,426
10. Receivables from customers for error of financial asset transaction	33	-	-
11. Payables to customers for error of financial asset transaction	34	-	-
12. Payables for dividend, bond interest	35	14,149,213,936	109,794,322,173

INTERIM COMBINED INCOME STATEMENT ENDED 31 MARCH 2021

Currency: VND

	Code	Quarter I end 31/03		Accumulated to 31/03	
		2021	2020	2021	2020
I. REVENUE					
1.1 Gains from Financial assets at fair value through profit or loss (FVTPL)	1	52,365,820,904	14,659,579,632	52,365,820,904	14,659,579,632
a. Gains from sale of financial assets	1.1	23,309,113,253	5,583,374,936	23,309,113,253	5,583,374,936
b. Revaluation differences on financial assets through profit or loss	1.2	25,567,300,404	5,284,686,812	25,567,300,404	5,284,686,812
c. Dividend, interests from FVTPL	1.3	3,489,407,247	3,791,517,884	3,489,407,247	3,791,517,884
1.2 Gains from Held - to - maturity investments	2	4,925,942,845	144,602,740	4,925,942,845	144,602,740
1.3 Gains from loans and receivables	3	55,534,363,216	38,060,284,088	55,534,363,216	38,060,284,088
1.4 Gains from financial assets available for sale (AFS)	4	-	936,540,000	-	936,540,000
1.5 Gains from derivative tools	5	-	-	-	-
1.6 Revenue from brokerage	6	85,870,778,410	25,054,479,927	85,870,778,410	25,054,479,927
1.7 Revenue from securities underwriting, securities Registrar	7	8,702,560,442	-	8,702,560,442	-
1.8 Revenue from consultancy	8	-	-	-	-
1.09 Revenue from securities depository	9	2,082,152,740	1,265,346,898	2,082,152,740	1,265,346,898
1.10 Revenue from financial advisory services	10	2,490,272,727	3,015,909,091	2,490,272,727	3,015,909,091
1.11 Revenue from other activities	11	770,159,545	207,392,649	770,159,545	207,392,649
TOTAL REVENUE (20 = 01>11)	20	212,742,050,829	83,344,135,025	212,742,050,829	83,344,135,025
II. EXPENSE FOR OPERATING ACTIVITIES					
2.1 Loss from Financial assets at fair value through profit or loss (FVTPL)	21	13,644,514,307	53,794,379,444	13,644,514,307	53,794,379,444
a. Loss from sale of financial assets	21.1	11,910,095,674	21,150,769,901	11,910,095,674	21,150,769,901
b. Revaluation differences on financial assets through profit or loss	21.2	1,734,418,633	32,643,609,543	1,734,418,633	32,643,609,543

	Code	Quarter 1 end 31/03		Accumulated to 31/03	
		2021	2020	2021	2020
2.2 Loss from Held - to - maturity investments	22	-	-	-	-
2.3 Expenses for interests, loss from loans and receivables	23	-	-	-	-
2.4 Loss from sale of assets available for sale (AFS)	24	(5,611,098,450)	4,274,621,640	(5,611,098,450)	4,274,621,640
2.5 Loss from derivative tools	25	-	-	-	-
2.6 Expenses for proprietary dealing	26	404,477,055	439,250,146	404,477,055	439,250,146
2.7 Expenses for brokerages	27	69,942,918,210	20,733,779,629	69,942,918,210	20,733,779,629
2.8 Expenses for securities underwriting, securities Registrar	28	-	-	-	-
2.9 Expenses for consultancy	29	-	-	-	-
2.10 Expenses for securities depository	30	3,835,078,449	2,799,162,281	3,835,078,449	2,799,162,281
2.11. Costs of financial advisory services	31	2,737,146,566	1,574,234,707	2,737,146,566	1,574,234,707
2.12 Expenses for other activities	32	2,783,703,903	1,958,270,382	2,783,703,903	1,958,270,382
<i>In which: Expenses for fixing transaction errors</i>	33		600,000		600,000
TOTAL EXPENSE (40 = 21->32)	40	87,736,740,040	85,573,698,229	87,736,740,040	85,573,698,229
III. REVENUE FOR FINANCING ACTIVITIES					
3.1 Foreign exchange rate differences	41	-	-	-	-
3.2 Revenue, receivables from dividends, interest in the period	42	2,968,325,542	5,818,191,727	2,968,325,542	5,818,191,727
3.3 Gains from investment in associates	43	-	-	-	-
3.4 Other revenue	44	-	-	-	-
Total (50 = 41->44)	50	2,968,325,542	5,818,191,727	2,968,325,542	5,818,191,727
I.V EXPENSE FOR FINANCING ACTIVITIES					
4.1 Foreign exchange rate differences	51	-	176,222	-	176,222
4.2 Interest expenses	52	12,701,735,126	8,851,503,412	12,701,735,126	8,851,503,412
4.3 Losses from investment in associates	53	-	-	-	-
4.4. Provision for reduction in long-term investments	54	-	-	-	-
4.5 Other expense	55	-	200,000,000	-	200,000,000

	Code	Quarter I end 31/03		Accumulated to 31/03	
		2021	2020	2021	2020
Total (60 = 51->56)	60	12,701,735,126	9,051,679,634	12,701,735,126	9,051,679,634
V. Sale expenses	61	-	-	-	-
VI. General and administration expenses	62	37,364,638,050	18,323,335,212	37,364,638,050	18,323,335,212
VII. OPERATING RESULTS (70= 20+50-40-60-61-62)	70	77,907,263,155	(23,786,386,323)	77,907,263,155	(23,786,386,323)
VIII. OTHER EXPENSES AND REVENUE					
8.1 Other revenue	71	29,043,201	294,006,554	29,043,201	294,006,554
8.2 Other expenses	72	87,990,000	88,325,000	87,990,000	88,325,000
Total (80= 71-72)	80	(58,946,799)	205,681,554	(58,946,799)	205,681,554
IX. Accounting profit (loss) before tax (90=70 + 80)	90	77,848,316,356	(23,580,704,769)	77,848,316,356	(23,580,704,769)
9.1 Realized earnings	91	54,015,434,585	3,778,217,962	54,015,434,585	3,778,217,962
9.2 Unrealized earnings	92	23,832,881,771	(27,358,922,731)	23,832,881,771	(27,358,922,731)
X. Income tax payables	100	14,094,416,522	(876,317,039)	14,094,416,522	(876,317,039)
10.1 Current enterprise income tax	100.1	11,404,915,739	-	11,404,915,739	-
10.2 Deferred enterprise income tax	100.2	2,689,500,783	(876,317,039)	2,689,500,783	(876,317,039)
XI. Accounting profit (loss) after tax (200 = 90 - 100)	200	63,753,899,834	(22,704,387,730)	63,753,899,834	(22,704,387,730)
XII. GAINS/LOSSES AFTER TAX	300				
12.1 Gains/Losses from Revaluation differences on assets available for sale	301	4,306,246,726	(21,424,912,000)	4,306,246,726	(21,424,912,000)
12.2 Other Gains/Losses from Investments on Associates	302				
12.3 Gains/Losses from Revaluation differences on financing derivatives tools	303				
12.4 Gains/Losses from Foreign exchange rate differences	304				
Total earnings	400	4,306,246,726	(21,424,912,000)	4,306,246,726	(21,424,912,000)
XIII. Net profit per common stock	500	-	-	-	-
13.1 Earning per share (VND/share)	501	590	52	590	52

INTERIM COMBINED CASH FLOW STATEMENT ENDED 31 MARCH 2021 – DIRECT METHOD

Currency: VND

	Code	Accumulated to ended 31 March	
		2021	2020
I. Cash flow from operating activities			
1. Payments for purchase of financial assets	1	(29,111,231,878,123)	(8,419,823,834,736)
2. Receipts from sale of financial assets	2	29,465,974,418,428	8,397,411,449,055
3. Payment to the Settlement support fund	3		
4. Receipts from dividends	4	339,712,950	5,739,456,300
5. Receipts from interests	5	73,852,838,728	43,427,562,080
6. Payment for interest on operating activities	6	(9,760,463,013)	(534,246,575)
7. Payment to suppliers	7	(16,060,081,353)	(6,840,023,941)
8. Payment for tax on operating activities	8	(47,794,499,856)	(44,585,097,971)
9. Payments for trading expenses	9	(35,589,582,782)	(19,149,502,495)
10. Other income from operating	10		
11. Other payment for operating	11	3,311,058,992,994	1,878,344,437,548
12. Other payments from operating activities	12	(3,320,803,456,077)	(1,833,382,607,464)
Net cash from operating activities	20	309,986,001,896	607,591,801
II. Net cash flows from investing activities			
1. Acquisition of fixed assets and other long-term assets	21	(1,106,511,120)	(99,200,000)
2. Proceeds from sale of fixed assets and other long-term assets	22	-	-
3. Payment for Investments in associates	23	-	-
4. Proceeds from investments in subsidiaries, joint ventures, affiliates and other entities	24	-	-
5. Interest and dividend received from long-term financial investment	25	-	-
Net cash from investing activities	30	(1,106,511,120)	(99,200,000)
III. Net cash from financing activities			
1. Proceeds from issuance of stock and receipt of capital contributed	31	-	-
2. Payments to owner for capital contributed, payments to acquire or redeem the treasury shares	32	-	-
3. Principals	33	665,000,000,000	-
- <i>Loan from payment assistance funds</i>	33.1	-	-
- <i>Other loans</i>	33.2	665,000,000,000	-
4. Payments of principal	34	(1,057,000,000,000)	(100,000,000,000)
- <i>Loan principal payments to payment assistance funds</i>	34.1	-	-
- <i>Loan principal payments of financial assets</i>	34.2	-	-
- <i>Other loan principal payments</i>	34.3	(1,057,000,000,000)	(100,000,000,000)
5. Payments of financial lease	35	-	-
6. Dividends, profits paid to shareholders	36	-	-

	Code	Accumulated to ended 31 March	
		2021	2020
Net cash from financing activities	40	(392,000,000,000)	(100,000,000,000)
IV. Net cash of the year	50	(83,120,509,224)	(99,491,608,199)
V. Cash and cash equivalent at opening balance	60	88,878,481,436	174,237,228,080
Cash	61	88,878,481,436	174,237,228,080
Cash equivalents	62		
Effects of changes in foreign exchange rate	63		
VI. Cash and cash equivalent at closing balance (70 = 50 + 60)	70	5,757,972,212	74,745,619,881
Cash	71	5,757,972,212	74,745,619,881
Cash equivalent	72	-	-
Effects of changes in foreign exchange rate	74		

**CASH FLOW ON BROKERAGE, INVESTMENT TRUST ACTIVITIES ENDED 31 MARCH 2021
(DIRECT METHOD)**

	Code	Accumulated to ended 31 March	
		2021	2020
I. Cash from brokerage, trust activities			
1.Cash from sale securities brokerage for customers	1	47,867,058,680,980	9,999,260,660,638
2.Payment for purchase of securities brokerage for customers	2	(52,210,537,759,421)	(8,694,108,047,438)
3.Cash from sale trust securities brokerage for customers	3		-
4.Payment for purchase trust securities for customers	4		-
5. Receipt from Fund for Settlement Support borrowing	5	-	-
6. Payment for Fund for Settlement Support borrowing	6	-	-
7.Receipt of deposit for securities trading settlement of Customer	7	11,546,726,096,529	4,167,921,755,222
8.Receipt of Customer's deposit for investment trust activities	8		
9.Payment for customer securities depository	9	(1,101,064,214)	(1,138,389,756)
10.Receipt from securities trading errors	10		-
11.Payment for securities trading errors	11	-	-
12.Receipt from issuer	12	1,516,425,041,706	926,448,288,876
13.Payment for issuer	13	(1,613,482,792,723)	(927,242,654,460)
14. Receipt from brokerage service	14	31,764,016,463,382	14,085,950,743,224
15. Payment for brokerage service	15	(39,210,297,370,708)	(19,338,621,118,297)
Increase/Decrease net cash	20	(341,192,704,469)	218,471,238,009
II.Cash and Cash equivalent of Customer at opening of period	30	1,176,052,114,156	588,148,124,654
Cash in bank at opening balance	31	1,176,052,114,156	588,148,124,654
Customer's Deposits for securities trading under management of securities company	32	599,761,947,810	364,578,483,902
Customer's total Deposits for securities trading	33		
Deposits for clearing and settlement of securities trading	34	493,104,582,949	223,301,242,574

	Code	Accumulated to ended 31 March	
		2021	2020
Deposit of issuer	35	83,185,583,397	268,398,178
Cash equivalent	36		
Effects of changes in foreign exchange rate	37	-	-
III.Cash and Cash equivalent of Customer at end of period	40	834,859,409,687	806,619,362,663
Cash in bank at closing balance	41	834,859,409,687	806,619,362,663
Customer's Deposits for securities trading under management of securities company	42	114,850,320,160	517,439,932,014
Customer's total Deposits for securities trading	43	713,044,094,202	283,623,258,628
Deposits for clearing and settlement of securities trading	44		
Deposit of issuer	45	6,964,995,325	5,556,172,021
Cash equivalent	46		
Effects of changes in foreign exchange rate	47	-	-

COMBINED STATEMENT OF CHANGE IN EQUITY

For Quarter I ended 31/03

Currency: VND

Items	Beginning balance		Increase/(decrease) for quarter I ended 31/03				Ending balance for Quarter I	
	January 1, 2020	January 1, 2021	2020		2021		2020	2021
			Increase	Decrease	Increase	Decrease		
I. Change in Owner's equity								
1. Share capital	1,332,592,536,720	1,332,592,536,720					1,332,592,536,720	1,332,592,536,720
1.1. Common stock	722,339,370,000	722,339,370,000					722,339,370,000	722,339,370,000
1.2. Preferred stock								
1.3 Surplus capital	610,253,166,720	610,253,166,720					610,253,166,720	610,253,166,720
1.4 Conversion option to bonds								
1.5 Other capital								
2. Treasury shares	(496,682,500)	(496,682,500)					(496,682,500)	(496,682,500)
3. Reserve fund for supplementing charter capital	42,517,552,484	47,769,022,922					42,517,552,484	47,769,022,922
4. Allowance for financial and professional risks	42,517,552,484	47,769,022,922					42,517,552,484	47,769,022,922
5. Revaluation differences on Assets	30,894,437,854	47,901,675,608		21,424,912,000	9,667,382,508	5,361,135,782	9,469,525,854	52,207,922,334
6.. Foreign exchange differences								
7. Other Equity funds								

Items	Beginning balance		Increase/(decrease) for quarter I ended 31/03				Ending balance for Quarter I	
	January 1, 2020	January 1, 2021	2020		2021		2020	2021
			Increase	Decrease	Increase	Decrease		
8. Retained earnings	358,607,795,379	399,208,093,183	3,778,217,962	26,482,605,692	63,753,899,834		335,903,407,649	462,961,993,017
8.1 Realized earnings after tax	380,595,251,718	393,844,801,004	3,778,217,962		42,610,518,846		384,373,469,680	436,455,319,850
8.2 Unrealized earnings after tax	(21,987,456,339)	5,363,292,179		26,482,605,692	21,143,380,988		(48,470,062,031)	26,506,673,167
TOTAL	1,806,633,192,421	1,874,743,668,855	3,778,217,962	47,907,517,692	73,421,282,342	5,361,135,782	1,762,503,892,691	1,942,803,815,415
II. Other comprehensive income								
1. Gain/(loss) from revaluation of asset available for sale	30,894,437,854	47,901,675,608		21,424,912,000	9,667,382,508	5,361,135,782	9,469,525,854	52,207,922,334
2. Gain/(loss) from revaluation of fixed asset								
3. Gain/(loss) from foreign exchange rate								
4. Other gains/(loss)								
TOTAL	30,894,437,854	47,901,675,608		21,424,912,000	9,667,382,508	5,361,135,782	9,469,525,854	52,207,922,334

